

FORM PTO-1320 (Modified)  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

1091-2 PCT/US

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

09/555,780

INTERNATIONAL APPLICATION NO.  
PCT/FR98/02605INTERNATIONAL FILING DATE  
02 December 1998PRIORITY DATE CLAIMED  
03 December 1997

## TITLE OF INVENTION

Mixed Lipopeptide Micelles for Inducing an Immune Response and Their Therapeutic Uses

## APPLICANT(S) FOR DO/EO/US

Helene Gras-Masse, Marc Bossus, Guy Lippens, Jean-Michel Wieruszeski, Andre Tartar, Jean-Gerard Guillet and  
Isabelle Bourgault-Villada

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

Petition to Extend Four Months

Copy of the Notification of Missing Requirements dated 03 July 2000

11/27/2000 ERIMAND0 00000049 09555780

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1091-2 PCT/US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE THE APPLICATION OF  
HELENE GRAS-MASSE, ET AL.

SERIAL NUMBER: 09/555,780

UNITED STATES NATIONAL PHASE OF  
INTERNATIONAL APPLICATION  
NUMBER PCT/FR98/02605

INTERNATIONAL FILING DATE:  
02 DECEMBER 1998

FOR: MIXED LIPOPEPTIDE MICELLES  
FOR INDUCING AN IMMUNE  
RESPONSE AND THEIR  
THERAPEUTIC USES

Attention: DO/EO/US

Assistant Commissioner for Patents  
Box US DO/EO  
Washington, DC 20231

**EXPRESS MAIL CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EL633571452US

Date of Deposit: 17 November 2000

I hereby certify that the documents enclosed herewith, namely a Second Transmittal Letter to the United States Designated/Elected Office, an executed Declaration and Power of Attorney, an executed Assignment with Recordation Form, a Petition to Extend Four Months, and a check in the amount of \$1,560.00, are deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Cassandra Lems

Printed Name of person mailing paper

Cassandra Lems

Signature of person mailing paper



11.20.00  
527 Rec'd PCT/PTO

PCT  
17 NOV 2000  
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PATENT

